DOCKET NO.: STMI07-02021 PATENT

Customer No.: 23990

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

application of :

FRANCK BADETS, ET AL.

U.S. Serial No.

10/603,579

Filed

June 25, 2002

For

VARIABLE PHASE-SHIFTING CIRCUIT, PHASE INTERPOLATOR INCORPORATING IT, AND DIGITAL FREQUENCY SYNTHESIZER INCORPORATING SUCH AN

INTERPOLATOR

Group No.

2631

Examiner

(Not Yet Assigned)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on the attached Form PTO/SB/08. These references were located in a European Search Report issued in the related French Patent Application No. FR 0207856, a copy of which is attached hereto. Copies of the listed references are submitted herewith.

DOCKET NO.: STMI07-02021

SERIAL NO.: 10/603,579

PATENT

U.S. Patents

PATENT NO.	INVENTOR	DATE
4,916,412	Nordholt, et al.	04/10/1990
6,396,360	Cai	05/28/2002
6.414.528	Usui	07/02/2002

Foreign Patents

PATENT NO.	COUNTRY	DATE
EP 0 326 202	EPO	08/02/1989
WO 00/65717	PCT	11/02/2000

Publications

RICHTER, R., et al. "A VIRTUAL CLOCK ENHANCEMENT METHOD FOR DDS USING AN ANALOG DELAY LINE"; IEEE Journal of Solid state Circuits, Vol. 36, No. 7, July 2001; pages 1158-1161;

NOSAKA, H., et al.; "A LOW-POWER DIRECT DIGITAL SYNTHESIZER USING A SELF-ADJUSTING PHASE-INTERPOLATION TECHNIQUE"; IEEE Journal of Solid-State Circuits, Vol. 36, No. 8, August 2001; pages 1281-1285;

Chapitre 3; Oscillateurs Synchrones; pages 99-126

Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above Patents and to question the relevance and materiality of the Patents and Publications listed herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

DOCKET NO.: STM107-02021 SERIAL NO.: 10/603,579

PATENT

Respectfully submitted,

DAVIS MUNCK, P.C.

William A. Munck Registration No. 39,308

Date: Sept. 25, 2003

P.O. Drawer 800889 Dallas, Texas 75380 Phone: (972) 628-3600

Fax: (972) 628-3616

Email: wmunck@davismunck.com

PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Col	mplet if Known	
Application Number	10/603,579	
Filing Date	June 25, 2003	
First Named Inventor	Franck Badets, et al.	
Art Unit	2631	
Examiner Name	Not yet assigned	
Attorney Docket Number	STMI07-02021	

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US- 4,916,412	04/10/1990	Nordholt, et al.	
	AB	^{US-} 6,396,360	05/28/2002	Cai	
	AC	^{US-} 6,414,528	07/02/2002	Usui	
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	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ^{3 "} Number ^{4 "} Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ ⁶
	AD	EP 0 326 202	08/02/1989	Catena		
	AE	WO 00/65717	11/02/2000	Seiko Epson Corp (JP)	(Abstract only)	
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Examiner	Date	
Signature	Considered	
		1

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Akind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND** TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (08-03)

STMI07-02021

Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1 **Application Number** 10/603,579 INFORMATION DISCLOSURE **Filing Date** June 25, 2003 STATEMENT BY APPLICANT **First Named Inventor** Franck Badets, et al. Art Unit 2631 (Use as many sheets as necessary) **Examiner Name** Not yet assigned Attorney Docket Number

Sheet

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
- ∵	AF	RICHTER, R., et al. "A VIRTUAL CLOCK ENHANCEMENT METHOD FOR DDS USING AN ANALOG DELAY LINE"; IEEE Journal of Solid state Circuits, Vol. 36, No. 7, July 2001; pages 1158-1161;			
	AG	NOSAKA, H., et al.; "A LOW-POWER DIRECT DIGITAL SYNTHESIZER USING A SELF-ADJUSTING PHASE-INTERPOLATION TECHNIQUE"; IEEE Journal of Solid-State Circuits, Vol. 36, No. 8, August 2001; pages 1281-1285; Chapitre 3; Oscillateurs Synchrones; pages 99-126			
	АН				
					

Examiner Date Signature Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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